

# FACTS

## WHAT DOES WALTON FINANCIAL PLANNING DO WITH YOUR PERSONAL INFORMATION?

<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
<b>What?</b>	<p>The types of personal information we collect, and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>■ Name, email address, internet protocol (IP) address, address, social security number, date of birth, signature</li> <li>■ Financial account number, financial account balances, trading history, payment history</li> <li>■ Net worth, assets, income, investment experience, employment information</li> <li>■ Transactions or credit relationships with nonaffiliated third parties</li> <li>■ Medical information (if applying for insurance)</li> </ul>
<b>How?</b>	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Walton Financial chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Walton Financial share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	We don't share
<b>For nonaffiliates to market to you</b>	No	We don't share

<b>To limit our sharing</b>	<ul style="list-style-type: none"> <li>■ Call 205.871.0154 our menu will prompt you through your choice(s)</li> <li>■ Visit us online: <a href="https://waltonfin.com/">https://waltonfin.com/</a> or</li> <li>■ Mail the <b>form</b> below</li> </ul> <p><b>Please note:</b></p> <p>If you are a <i>new</i> customer, we can begin sharing your information 30 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>
<b>Questions?</b>	Call 205.871.0154 or go to <a href="https://waltonfin.com/">https://waltonfin.com/</a>



Mail-in Form		
<p><b>Leave Blank OR</b> If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below.</p> <p><input type="checkbox"/> Apply my choices only to me</p>	<p>Mark any/all you want to limit:</p> <p><input type="checkbox"/> Do not share information about my creditworthiness with your affiliates for their everyday business purposes.</p> <p><input type="checkbox"/> Do not allow your affiliates to use my personal information to market to me.</p> <p><input type="checkbox"/> Do not share my personal information with nonaffiliates to market their products and services to me.</p>	
<b>Name</b>	<input type="text"/>	<p><b>Mail to:</b> Walton Financial 2564 18<sup>th</sup> Street South Birmingham, AL 35209</p>
<b>Address</b>	<input type="text"/>	
<b>City, State, Zip</b>	<input type="text"/>	
<b>Account #</b>	<input type="text"/>	

Who we are	
<b>Walton Financial</b>	Walton Financial is a SEC registered investment adviser. We were founded with the goal of offering comprehensive financial planning and investment advice to help clients live more confident, secure lives.
What we do	
<b>How does Walton Financial protect my personal information?</b>	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
<b>How does Walton Financial collect my personal information?</b>	Walton Financial collects nonpublic personal information from you, as well as from other sources. The sources and the information collected may include: <ul style="list-style-type: none"> <li>■ Information you provide to us, to our affiliated entities, or to your advisor on applications and related forms, through discussions with our customer service staff, or on our website</li> <li>■ Information regarding your transaction history with us</li> <li>■ Information from other nonaffiliated third parties, including employers, associations, benefit plan sponsors, credit bureaus, and other institutions, if you transfer positions or funds to Walton Financial</li> </ul>
<b>Why can't I limit all sharing?</b>	Federal law gives you the right to limit only <ul style="list-style-type: none"> <li>■ sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>■ affiliates from using your information to market to you</li> <li>■ sharing for nonaffiliates to market to you</li> </ul> State laws and individual companies may give you additional rights to limit sharing.
<b>What happens when I limit sharing for an account I hold jointly with someone else?</b>	Your choices will apply to everyone on your account—unless you tell us otherwise.
Definitions	
<b>Affiliates</b>	Companies related by common ownership or control. They can be financial and nonfinancial companies
<b>Nonaffiliates</b>	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> <li>■ This may include insurance companies, broker/dealers, investment advisers, mutual fund companies, banks, investment firms, third-party administrators, clearing firms, retirement plan sponsors, and other third parties.</li> </ul>
<b>Joint marketing</b>	A formal agreement between nonaffiliated financial companies that together market financial products or services to you.